

Disclosure of Potential Conflicts of Interest
(March 2021)

I have been serving on the **boards** of the following companies and institutions (*paid):

- 2020 - Director, Center for Financial Studies GfK e.V., Frankfurt/M.
- 2020 - Member of the Supervisory Board of CORE SE, Berlin
- 2018 - Member of Goethe University's Third Mission Advisory Board
- 2017 - Member of the Presidium, Frankfurter Gesellschaft für Handel, Industrie und Wissenschaft, Frankfurt/M. (and since 2013 member of its Admissions Council)
- 2016 - Member of the Supervisory Board of eClear, Berlin
- 2016 - Representative of Goethe University on the Steering Committee of TechQuartier.com
- 2015 - Scientific Board of SAFE Research Center, House of Finance (since 2020 Leibniz Institute for Financial Research SAFE)
- 2015 - Member of DZ Bank Advisory Board*
- 2015 - Member of the "Kommission zum Umgang mit Zuwendungen privater Dritter" (assessing the permissibility of donations by private entities) of Goethe University
- 2011 - Chairman, Deutsche Renteninformation e.V., Berlin

I have been **advisor** to the following companies and institutions (all unpaid):

- 2020 - Chairman of the Advisory Council, German Financial Services Authority (BAFIN) – member of the council since 2009
- 2015 - Academic Director Unibator – Startup Incubator Goethe University
- 2016 - 2021 Member of the working group consulting ESMA's Financial Innovation Standing Committee, Brussels
- 2011 - Member of the Exchange Expert Commission, German Federal Ministry of Finance

I have done **no paid consulting work** over the past three years.

I have been **compensated for speeches** given at the following institutions over then past three years:

Banking Education and Examination Center Vienna, Berenberg Bank, BHF Bank, Börse Stuttgart, Börsenzeitung, Citywire, Daimler Mobility Services, Deutscher Derivate Verband, Fiducia, Finanzplaner Forum, Goethe Business School, Institute for Law & Finance, KfW, London Speakers Bureau, LBBW, PwC, Swift, Universal, Universität Witten/Herdecke, Verbraucherservice München, Wealthpilot

Miscellaneous:

My chair at Goethe University was partly funded by DZ Bank Stiftung (DZ Bank Foundation) from 2012 to early 2020. In addition, my chair has obtained research grants from the European Regional Development Fund (EFRE) and Commerzbank over the past three years. All funds and grants have been obtained through agreements in accordance with Goethe University's policies for third party research grants (<https://www.uni-frankfurt.de/51485827/SatzungsfassungStiferrichtlinie.pdf>) which rule out any influence of donors on research content and research results.

I provide this information to the best of my knowledge,

Andreas Hackethal