



Prof. Dr Peter Henning

Work experience:

- 2020 until today **Deutsche Bank AG**, Managing Director, Head Office Frankfurt
Legal/Group Governance - Senior Governance Advisor
- 2015 - 2020 **Deutsche Bank AG**, Managing Director, Head Office Frankfurt
Head Supervisory Board Office (with direct reporting line to the Chairman of the Supervisory Board)
- 2011 - 2014 **Deutsche Bank AG**, Director, Head Office Frankfurt
Deputy Head, Group Corporate Secretariat
- 2008 - 2010 **Deutsche Bank AG**, Director, Head Office Frankfurt
Team Head, Group Corporate Secretariat
- Main areas of activity:**
Supervision of the supervisory board, executive board and general meeting, corporate governance, supervision of the European Advisory Board
- 2002 - 2008 **Deutsche Bank AG**, Vice President, Head Office Frankfurt, General Secretariat, Office of the Chairman of the Supervisory Board and various other responsibilities/duties
- 1999
Admitted to the bar at the Frankfurt Regional Court
- 1998 - 2002 **Deutsche Bank AG**, Analyst/Associate, Frankfurt/Zurich, Global Investment Banking/ Corporate Finance / ECM
- Main areas of activity:**
Capital market transactions in Germany and Switzerland, privatisations (inter alia Deutsche Telekom, Deutsche Post, various companies on the Neuer Markt)
- 1997 - 1998 **Deutsche Bank AG**, Berlin Branch
Credit department with focus on Workout / Restructuring
- 1989 **Vereins- und Westbank AG**, Head Office Hamburg, Securities Analysis
- 1987 - 1989 **Vereins- und Westbank AG**, Hanover, banking apprenticeship

Education/ Study:

- 1994 - 1997 Comparative law dissertation for the degree of Dr. iur. in international - supervisory law at the University of Freiburg on the subject of "Die Zwangsliquidation von Versicherungsunternehmen, Lehrstuhl Prof. Dr. R. Stürner, ("magna cum laude"); published in 1998 in the series of publications on insurance law by Prof. Dr. Lorenz, Mannheim
- 1994 - 1996 Legal clerkship at the Baden-Baden Regional Court
2nd state examination with distinction in Stuttgart
- 1989 - 1993 Studied law in Hamburg and Freiburg
1st state examination with distinction in Freiburg

Federal Armed Forces:

- 1986 - 1987 Army Officers' School, Hanover

School education:

- 1977 - 1986 St. Ursula School, Grammar School, Hanover

Other activities:

- 2012 until today
Honorary Professor at the Faculty of Economics at Goethe University Frankfurt am Main, Chair of Prof. Dr. Hans-Joachim Böcking (main topic: International Corporate Governance)
<http://www.accounting.uni-frankfurt.de/professoren/professur-boecking/team/honorarprofessoren-und-lehrbeauftragte/dr-henning.html>
- 2009 - 2011
Vice President of the German Management and Supervisory Board Institute e.V. ([DVAI](https://dvai.org/)) <https://dvai.org/>
- 2012 until today
Deputy Chairman of the Qualified Supervisory Board Examination Committee of Deutsche Börse AG
https://deutsche-boerse.com/cma/dispatch/de/binary/gdb_content_pool/imported_files/public_files/10_downloads/15_cma/15_Lehrgaenge/Pruefungsordnung_Qualifizierter_Aufsichtsrat.pdf
- 2013 until today
Member of the working group External and Internal Monitoring of the Enterprise of the Schmalenbach Society for Business Administration e.V.
<https://www.schmalenbach.org/index.php/arbeitskreise/unternehmensfuehrung/externe-und-interne-ueberwachung-der-unternehmung>
- 2014 until today
Co-editor of the professional journal "Board" - Zeitschrift für Aufsichtsräte in Deutschland (Journal for Supervisory Boards in Germany)
<http://www.betrifft-unternehmen.de/fachmedien/unternehmensrecht/corporate-law/board.html>
- 2012 until today
Co-founder and member of the DAX30 Corporate Secretaries Network
- 2018 until today
Initiator and member of the German Audit Committee Chairs Network (GACN) of the chairs of the audit committees of the supervisory boards of listed(HDAX) companies in Germany
- 2021 until today
Member of the Executive Board Arbeitskreis Deutscher Aufsichtsrat e.V. <https://www.adar.info/>

Publications:

- 1998** "Die Zwangsliquidation von Versicherungsunternehmen, Die Koordinierung der Rechts- und Verwaltungsvorschriften in der Europäischen Union am Beispiel von Deutschland, dem Vereinigten Königreich und Frankreich", Schriftenreihe der Zeitschrift Versicherungsrecht ("VersR Schriftenreihe"), Heft 5, Edited by Prof. Dr. Egon Lorenz, Mannheim 1998, pp. 1 - 163
- 2007** "Geschäftsverteilungsplan für den Aufsichtsratsrat", Zeitschrift "Der Aufsichtsrat" 2007, p.140 - 141
- 2011** "New Recommendation on the Composition of the Supervisory Board" Journal "Der Aufsichtsrat" 2011, p. 52-53
- 2012** "The Organisation of the Supervisory Board Office" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2012, p. 119-120
- "Das Sitzungsmanagement" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2012, p. 156-157
- "Independence of the Supervisory Board through Cost Sovereignty" together with Prof. Dr. Stefan Simon, Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2012, p. 175 - 178
- 2013** "Working with the Chairman of the Supervisory Board" in "The Most Important Supervisory Boards in Germany" Handbook for Supervisory Boards and Advisory Boards in German Companies, edited by Prof. Dr Klaus Schweinsberg and Carsten Laschet, 2013, pp. 171 - 173,
- "Relevance of the Supervisory Board Office - Corporate Secretaries as a Link between the Executive Board and the Supervisory Board", in "Der unabhängige Finanzexperte im Aufsichtsrat", edited by Christian Orth, Rudolf X Ruter, Prof. Dr. Bernd Schichold, 2013, pp. 157 - 170
- "Special Aspects of Supervisory Board Remuneration" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2013, p. 112 - 113
- "Auslagerung von Aufsichtsratsmitgliedern" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2013, 81 - 82
- "Beschlossen zu Beschließen" (Decided to decide) together with Dr Henning Hönsch, "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2013, p. 199 - 202
- 2014** "Das Sitzungsprotokoll", journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2014, p. 37 - 38
- "Zur zukünftigen Entwicklung der Abschlussprüfung" [On the future development of the audit of financial statements] together with the working group External and Internal Monitoring of the Enterprise of the Schmalenbachgesellschaft für Betriebswirtschaft e.V.. (AKEIU), Der Betrieb 2014, p. 1149 - 1155

"Guests at the Supervisory Board Meeting?" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2014, p. 176-177

"Der Aufsichtsrat 2.0" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2014, p. 243 - 244

"Efficiency Review" together with Dr Henning Hönsch, "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2014, p. 115 - 118

2015

"The Regulation of the Banking Sector" together with Prof. Dr. Annette G. Köhler and Tatjana Wirt, Zeitschrift für Corporate Governance (ZCG) 2015, p. 69 - 74

"Meetings without a Board", Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2015, p. 211 - 212

2016

"The Future of the German Corporate Governance Code" together with the Working Group External and Internal Monitoring of the Enterprise of the Schmalenbachgesellschaft für Betriebswirtschaft e.V.. (AKEIU), Der Betrieb 2016, p. -395-401

"Documentation of the Work of the Supervisory Board" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2016, p. 36 - 37

"Zur Frage der Ausstrahlung branchenspezifischer Corporate Governance-Anforderungen auf das allgemeine Unternehmensrecht am Beispiel der Bankenregulierung" [On the question of the radiation of sector-specific corporate governance requirements on general corporate law using the example of banking regulation] together with the Working Group on External and Internal Monitoring of the Enterprise of the Schmalenbach Society for Business Administration e.V. (AKEIU), Der Betrieb 2016, p. 1118 - 1125

2017

Chapter 8 "The Supervisory Board" - in "Handbook of Stock Corporation Law", edited by Dr Jürgen Frodermann and Dr Dirk Jannott, 9th edition 2017, pp. 447 - 491

"Auswirkungen der Abschlussprüfungsreform auf den Prüfungsausschuss" (Effects of the Audit Reform on the Audit Committee) together with the Working Group External and Internal Monitoring of the Enterprise of the Schmalenbachgesellschaft für Betriebswirtschaft e.V.. (AKEIU), Der Betrieb 2017, p.47 - 52

"Communication of the Supervisory Board with Investors" Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2017, p. 41 - 43

"Related Party Transactions from the Perspective of the Supervisory Board" together with Evgenia Gissing, Audit Committee Quarterly, Audit Committee Institute e.V., Issue 3 2017, p. 27-29

2018

"The Function of the Chairman on the Supervisory Board - Requirements for Leadership and Leadership Culture" together with the Working Group External and Internal Monitoring of the Enterprise of the Schmalenbachgesellschaft für Betriebswirtschaft e.V.. (AKEIU), Der Betrieb 2018, p. 2189-2195

"Budget for support services for supervisory board members?"
Journal "Der Aufsichtsrat" 2018, p.26

"The European Banking Authority's new guidelines on the internal governance of institutions and the suitability test - a holistic view from the supervisory board's perspective" together with Evgenia Gissing, Die Aktiengesellschaft 2018, pp. 93 - 102

"Committees of the Supervisory Board", magazine "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2018, issue 6

"Suitability test for supervisory board members of institutions according to the guidelines of the European Banking Authority - A view from the supervisory board's perspective" together with Evgenia Gissing, Die Aktiengesellschaft 2018, p. 925 - 937)

2019 "Onboarding von Aufsichtsratsmitgliedern" Journal "Board – Zeitschrift für Aufsichtsräte in Deutschland" 2019, p. 161 - 163

2020 "Information as a basis for management and supervision", ZGR 2020, 485 - 494

"Experiences from the Virtual Annual General Meeting (AGM)" together with Dr Peter Henke, Audit Committee Quarterly, Audit Committee Institute e.V., Issue 20220, p. 37-39

"Ausschussbildung aus der Sicht der Praxis" in: Handbuch Corporate Governance von Banken und Versicherungen, editor: Prof. Dr. Dr. Dres. h.c. mult. Klaus J. Hopt, Prof. Dr Jens-Hinrich Binder, Prof. Dr Hans-Joachim Böcking, 2nd edition 2020

2021 "Corporate Governance von Finanzinstituten" and "Vorbereitung und Durchführung von Aufsichtsratssitzungen" in Arbeitshandbuch für Aufsichtsratsmitglieder, publisher: Prof. Dr Hans-Ulrich Wilsing, Dr Kersten von Schenck, 5th edition 2021

"Cooperation of Plenary and Committees" together with Prof. Dr. Peter Ruhwedel, Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2021, pp. 35 - 38

"Guidelines for the Dynamisation of Corporate Governance Systems on the Basis of the New Three Lines Model" together with the Working Group External and Internal Monitoring of the Enterprise of the Schmalenbachgesellschaft für Betriebswirtschaft e.V.. (AKEIU), Der Betrieb 2201, pp. 1757 -1764

"Der Prüfungsausschussvorsitzende" [„The Chair of the Audit Committee“] in Festschrift für Prof. Dr. Hans-Joachim Böcking zum 65. Geburtstag, Editors: Andreas Dutzi, Prof. Dr. Marius F. Gros, Dr. Karsten Nowak und Bernd Roese, pp. 91 - 98

"Theses on the selection and use of audit quality indicators from the perspective of audit committees" together with the working group External and Internal Monitoring of the Enterprise of the Schmalenbachgesellschaft für Betriebswirtschaft e.V.. (AKEIU), Betriebs-Berater 2201, pp. 2219 - 2225

"Der Nominierungsausschuss 2.0", Journal "Board - Zeitschrift für Aufsichtsräte in Deutschland" 2021, pp. 277 - 279

2022

"Introductions for New Supervisory Board Members", Journal "Der Aufsichtsrat" 2022, pp. 34 -36

Frankfurt am Main, 26 February 2022