

Biography

Prof. Dr. Thomas Kaiser

House of Finance
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Education

- 1997 PhD in Financial Econometrics at Eberhard-Karls-University
Tübingen
- 1995 MSc. in Business Administration at Saarland University,
Saarbrücken

Professional Memberships and other roles

- Since 2015 Member of the Advisory Board of FIRM (Frankfurt Institute of Risk
Management and Regulation)
- Since 2016 Associate Editor of the Journal of Operational Risk
- Since 2011 Full Member of the German Academic Association of Business
Research (VHB)
- Since 2011 Full Member of the German Association of University Professors
and Lecturers
- Since 2021 Member of the working group "Strategic Planning and Controlling
in Banking Corporations" of Schmalenbach-Gesellschaft für
Betriebswirtschaft

Employment history

- Since 2011 Goethe University, Frankfurt
Honorary Professor
- Since 2013 Goethe Business School, Frankfurt
Lecturer on Risk Management
- Since 2014 Vietnamese German University, Ho Chi Minh City
Lecturer on Risk Management
- Since 1999 Self-employed
Author, Conference Speaker, Seminar Leader
- 2011-2021 KPMG AG, Frankfurt, Financial Services
Director, Member of Non-Financial Risk Management Team
- 2010-2011 Deutsche Bank AG, Frankfurt, Risk
Director, Head of Department "Operational Risk & Business
Continuity Management Framework"

2006-2009	Frankfurt School of Finance & Management Lecturer on Operational Risk
2003-2011	Goethe University, Frankfurt Lecturer on Operational Risk and Emerging Risk Types
2002-2010	KPMG AG, Frankfurt, Advisory Director, Head of Global Operational & Reputational Risk Competence Team
2000-2002	Commerzbank AG, Frankfurt, Group Risk Control Head of Department „Operational Risk Quantification“
1999-2000	HypoVereinsbank AG, Munich, Group Risk Control Project management on Operational Risk topics
1997-1998	WestLB, Düsseldorf, Risk Management Support & Control Project work in Market and Credit Risk Methods
1995-1997	Reutlingen University of Applied Sciences Lecturer on Mathematics, Statistics and Accounting
1995-1997	Eberhard-Karls-University Tübingen Research Assistant

Publications (Books)

Non-Financial Risk Management Post COVID-19 (editor), Risk Books, London – in preparation

Reputational Risk Management in Financial Institutions (editor with Petra Merl), Risk Books, London 2014

Operationelle Risiken in Finanzinstituten. Eine praxisorientierte Einführung (with Marc Felix Köhne), 2. überarbeitete Auflage, Gabler Verlag, Wiesbaden 2007

Wettbewerbsvorteil Risikomanagement. Erfolgreiche Steuerung der Strategie-, Reputations- und operationellen Risiken (editor), Erich Schmidt Verlag, Berlin 2007

An Introduction to Operational Risk (with Marc Felix Köhne), Risk Books, London 2006

Volatilitätsprognose mit Faktor-GARCH-Modellen. Eine empirische Studie für den deutschen Aktienmarkt, Gabler Verlag, Wiesbaden 1997

Publications (Journals and contributions to books) – 2010-current only

„Psychologische Effekte im Non-Financial Risk Management“ (with Mareike Reus), DIE BANK, 4-2021

„COVID-19: Impacts on non-financial risks and ESG risks“, *FIRM Yearbook 2021*

„Strategie und Governance als Grundlage effektiven Risikomanagements“ (with Mark Wahrenburg), *Handbuch Corporate Governance von Banken und Versicherungen*, Verlag C. H. Beck, München 2020

„Influences of agile structures on management of nonfinancial risk“, *FIRM Yearbook 2020*

„Risk Culture as a means of mitigating Conduct Risk. Similarities with and differences to the China Social Credit System“ (with Tatjana Schulz) in *Social Credit Rating*, Springer Verlag, Wiesbaden 2020

„Banken in Deutschland nehmen Risikokultur ernst“, *Börsenzeitung*, 24.12.2019

„Risikokultur als Bindeglied zwischen Non-Financial und Financial Risks“, *Zeitschrift für das gesamte Kreditwesen*, 6-2019

„Reputational risks, step-in risks and climate related risks as catalysts in the risk landscape“, *FIRM Yearbook 2019*

„Rechtzeitig Regeln schaffen und das Risiko beleuchten“, *DIE BANK*, 6-2019

„Managing Behavioural Risk – What Banks Can Learn From Other Sectors“ (with Sophie v. Koskull), *FIRM Yearbook 2018*

„Harmonisierung der Non-Financial Risk-Bewertungen“ (with Susanne Schenk), *BankPraktiker*, 05/2017

„Risikoüberwachung noch nicht ausgereift“, *DIE BANK*, 02/2017

„Behavioural risk management – Influences on the management of non-financial risks“, *FIRM Yearbook 2017*

„Implications of current changes to OpRisk regulation on banks in Germany“, *EUROMONEY - Global Operational Risk Review*, 2016

„Reputationsrisiken richtig einschätzen“ (with Anja Hirt-Schlotmann and Friedemann Kühn), *DIE BANK*, 11/2016

„Zeit für einen Neuanfang“ (with Holger Spielberg), *DIE BANK*, 08/2016

„Rückschlag oder Neuanfang für operationelle Risiken?“ (with Holger Spielberg), *Börsenzeitung*, 15. April 2016

„Scenario analyses for identification and assessment of non-financial risks“ in: *FIRM Yearbook 2016*, Frankfurt 2016

„Managing Non-Financial Risks – a new focus area for executive and non-executive board members“, *Journal of Risk Management in Financial Institutions*, December 2015

„Management von Non-Financial Risks – ein neues Schwerpunktthema für Aufsichtsräte und Vorstände“, *DIE BANK*, Dezember 2015

„Berücksichtigung der Operationellen Risiken“ in: *Basel III und MaRisk*, Bankakademie-Verlag, Frankfurt a. M. 2015

„Non-Financial Risks rücken in den Fokus der Banken“ (with Thilo Kasprovicz), *Börsenzeitung*, 8. April 2015

„Reputational Risk Management Across the World: A Survey of Current Practices“ in *Reputational Risk Management in Financial Institutions*, Risk Books, London 2014

„Best practice des RepRisk-Managements“ (with several co-authors), *RISIKOMANAGER*, 20/2013

„Reputationsrisikomanagement in Banken. Neues Themengebiet für die Interne Revision“, *Revisionspraktiker* 06/07/2013

„Bei Reputationsrisiken stehen Banken noch am Anfang“ (with Thilo Kasprovicz), *Börsenzeitung*, 10. August 2012

„Reputationsrisikomanagement in Banken“, *Zeitschrift für das gesamte Kreditwesen*, 1. Februar 2010